

LDAF Industrial Hemp Program 2021 CONTRACT CARRIER LICENSE APPLICATION PACKET

Guidelines and Instructions

• The Louisiana Department of Agriculture & Forestry ("LDAF") is authorized by R.S. 3:1465 and pursuant regulations to issue licenses and regulate industrial hemp in Louisiana. Prior to the cultivation, processing or contracting to transport industrial hemp, a person must first submit an application and receive an industrial hemp license from LDAF. Applications should be submitted to: Louisiana Department of Agriculture & Forestry, Industrial Hemp Program, 5825 Florida Blvd., Suite 3004 Baton Rouge, LA 70806, or hand-delivered to one of the LDAF district offices. See attached district office map. All information in this application packet must be complete, and the application returned to LDAF along with any required fees and documentation.

If you need assistance completing this application, please contact us by phone at 225-925-4863 or by email at industrialhemp@ldaf.la.gov.

• Contents of Application Packet

- Guidelines and Instructions
- Instructions for submitting to State and Federal Background Checks
- > Designated Responsible Party Declaration Form
- ➢ Key Participant Disclosure Form
- LDAF District Office Map
- License Application Please submit only the application (Pages 1-3) and the required forms and documentation. Do not submit the guidelines and instructions.
- <u>License Application Submittal</u> Industrial hemp applications are accepted at any time during the year. Industrial hemp license applications must be submitted and approved prior to cultivating, processing or contracting to transport industrial hemp. LDAF recommends that applicants use a delivery method with tracking capabilities when submitting an application. LDAF is not responsible for applications lost in the mail or not received. Applicants should keep a copy of the completed application, all attachments, and, if applicable, the mail receipt and tracking number for your records.
- <u>Fee Schedule</u> A \$500 license fee is due upon notification of application approval. The license fee is payable by check or money order to LDAF. No license shall be issued until full payment of the fee is received by LDAF.
- <u>Application File Format</u> Industrial hemp applications are available in an electronic fillable form. If you do not have compatible software for the fillable form, please print out the form and complete the form manually and legibly. LDAF is not responsible for missing information due to formatting, or printing errors by applicants.
- <u>Public Records Law</u> Applications and any attachments may be subject to the Louisiana Public Records Law (R.S. 44:1 *et seq.*).

- <u>Registering a Business Entity</u> Louisiana law requires licenses to be issued in the applicant's name. However, applicants may request to register their business entity with LDAF. In addition to the information required on the application, applicants must supply the following information when registering their business entity.
 - Submission of a Key Participant Disclosure form for all registered business entities. A Key Participant is a sole proprietor, a partner in partnership, or a person with executive managerial control in a corporation. A person with executive managerial control includes persons such as a chief executive officer, chief operating officer and chief financial officer. This definition does not include non-executive managers such as farm, field, or shift managers.
 - Submission of a Designated Responsible Party Declaration form for all registered business entities. The Designated Responsible Party is the person designated by the applicant or licensee as responsible for facility operations of the applicant, and their registered facilities.
 - If an applicant or licensee requires a change of the Designated Responsible Party or Key Participants anytime during the application process or after a license is issued, the applicant or licensee must submit a new Designated Responsible Party Declaration or Key Participant Disclosure form, current background checks, and copy of the new Designated Responsible Party's driver's license to LDAF.
- <u>Application Review</u> LDAF will evaluate each application to verify that the application is complete and required documents are included. After the evaluation is completed, applicants will be notified of the application status. Applications must be complete, accurate, and legible. Follow all instructions in the document. LDAF is not required to request additional information for clarity of the application. Any license application missing required information may be subject to denial.
- <u>Prohibited Activities</u> The activities listed below are prohibited activities. A current licensee found to be conducting or participating in any of these activities may be subject to regulatory actions, including but not limited to license revocation and forfeiture or destruction of all cannabis materials in their possession.
 - Cultivating, processing or contracting to transport cannabis that is not industrial hemp (greater than the federally defined THC level for hemp).
 - Possessing or cultivating industrial hemp plants or plant parts on any site not approved in the Licensing Application, or subsequent Site Modification Request form.
 - Cultivating or storing industrial hemp:
 - in any structure that is used for residential purposes;
 - in any outdoor field or site that is located within 1,000 feet of a school, or public recreational area; and
 - \circ on property which is not owned or leased by the licensee.
 - > Violating the restrictions outlined in §1325 Restrictions on Sale or Transfer of the industrial hemp regulations.
 - > Allowing unsupervised public access to industrial hemp plots.

• Criminal Background Checks

- All industrial hemp applicants, designated responsible parties, and key participants must undergo state and federal criminal background checks and submit fingerprint identification. The resulting certified criminal background check reports must accompany each license application.
- Before a license will be approved, the background check report will be reviewed by LDAF for applicant eligibility.
- State and federal background checks are required annually for each applicant, designated responsible party and key participants, and are valid for a period of 60 days prior to submission of license application.

INSTRUCTIONS FOR COMPLETING STATE AND FEDERAL CRIMINAL BACKGROUND CHECKS

State Background Check Procedures

1. Download and complete the LSP *Right to Review Authorization* and the *Right to Review Disclosure* forms. The forms can be accessed at <u>http://www.lsp.org/technical.html#criminal</u>.

2. State background check requests are processed by the Louisiana State Police, Criminal Records Dissemination Section. (LSP)

LSP, Criminal Records Dissemination Section 7919 Independence Blvd., Baton Rouge, LA 70806 Phone: 225-925-6095 Business hours: M-F 8:00 AM - 3:30 PM Central Time. Website: http://www.lsp.org/technical.html#criminal

3. Deliver the completed forms along with acceptable form of payment in person to the LSP.

4. Submit to fingerprinting and request a set of fingerprint cards to submit to FBI for federal the background check.

5. Applicants will be given in person their certified *Right to Review* and *State Background Check* which must be submitted to LDAF with application.

• Federal Background Check Procedures

1. Request Fingerprint cards (Form FD-258) from LSP.

2. Visit the FBI Identity History Summary Checks website at: https://www.fbi.gov/services/cjis/identity-history-summary-checks.

3. Applicants should choose Option 1 or 3 (do *not choose option 2*) to complete the background check.

4. Applicant will receive the background check report electronically or by mail, depending on the requested reporting method. The report must be submitted to LDAF with the license application.

NOTE: Applicant is responsible for providing an official "disposition clarification" from the local Clerk of Court or District Attorney Offices for any reported charges with unknown disposition.

All State Criminal Background Check forms and payments must be hand-delivered or mailed to LSP and FBI Background Check forms and payments mailed to FBI. <u>DO NOT SEND THIS</u> <u>INFORMATION AND PAYMENT TO LDAF.</u>



LDAF Industrial Hemp Program Designated Responsible Party Declaration Form

This form must be completed and submitted with each business entity industrial hemp application.

This completed form is a required attachment for all industrial hemp program business entity applications.

If completing this form electronically, navigate the form with the mouse or select the next field using the down arrow key.

Name of Business Entity	
Complete Physical Address	

I hereby declare that:

Printed Name	Title
Phone	Email

*The Designated Responsible Party listed above is required to have an annual background check and copy of driver's license on file with LDAF prior to license being issued.

is the Designated Responsible Party for all daily business operations and is authorized to sign all required industrial hemp program documents on the entity's behalf. The entity acknowledges that a change of Designated Responsible Party requires written notice to LDAF.

I certify that this information is true and correct.

Signature of owner, registered agent, or managing member

Printed Name

Date

Signature of designated responsible party

Printed Name

Date



LOUISIANA DEPARTMENT OF AGRICULTURE & FORESTRY

MIKE STRAIN DVM, COMMISSIONER

Seed Programs Division 5825 Florida Blvd, Suite 3004, Baton Rouge, LA 70806 Phone (225) 925-4733; Fax (225) 925-4124

LDAF Industrial Hemp Program Key Participant Disclosure Form

This form must be completed and submitted with each business entity application.

1. Business Entity Information

If completing this form electronically, navigate the form with the mouse or select the next field using the down arrow key.

Name of Business Entity:	
Name of Applicant:	
Physical Address of Business:	
City, State & Zip:	

2. List the names, titles and email addresses of <u>ALL</u> key participants in the table below.

NOTE: A Key Participant is a sole proprietor, a partner in partnership, or a person with executive managerial control in a corporation. A person with executive managerial control includes persons such as a chief executive officer, chief operating officer and chief financial officer. This definition does not include non-executive managers such as farm, field, or shift managers.

Key Participant 1	
Name:	
Title:	
Email Address:	
Key Participant 2	
Name:	
Title:	
Email Address:	
Key Participant 3	
Name:	
Title:	
Email Address:	
Key Participant 4	
Name:	
Title:	
Email Address:	

3. Attach sheets as necessary for additional key participants. If additional sheets are attached, indicate total number of sheets attached: _____

I hereby verify and affirm that all of the information contained on this form is true and accurate. I understand that if LDAF later determines any of this information to be false or inaccurate, the industrial hemp license may be withheld or revoked.

Signature of applicant

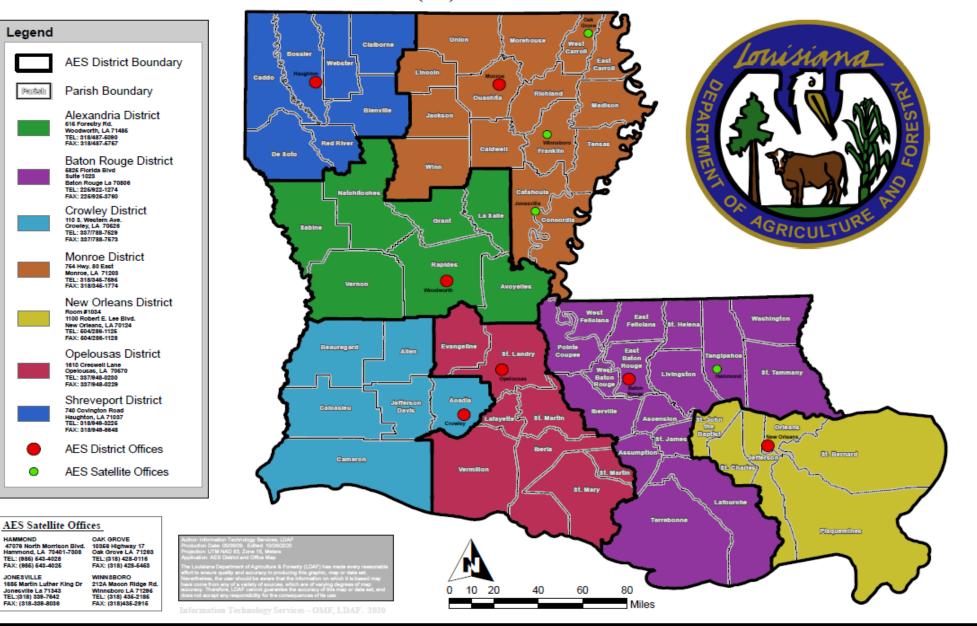
Printed Name

Date

LOUISIANA DEPARTMENT OF AGRICULTURE & FORESTRY MIKE STRAIN DVM, COMMISSIONER

Office of Agricultural and Environmental Sciences

(225) 925-3770



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LOUISIANA DEPARTMENT OF AGRICULTURE & FORESTRY MIKE STRAIN DVM, COMMISSIONER Seed Programs Division 5825 Florida Blvd, Suite 3004, Baton Rouge, LA 70806 Phone (225) 925-4733; Fax (225) 925-4124

LDAF Industrial Hemp Program 2021 Contract Carrier License Application

Any person interested in contracting to transport industrial hemp plants, plant parts and products must first submit a Contract Carrier license application and receive a Contract Carrier license from LDAF prior to engaging in the regulated profession. **NOTE:** *Applicants must receive a Contract Carrier license from LDAF before contracting to transport any industrial hemp plants, plant parts or products.* **OFFICIAL USE ONLY**

Date Received:

Application Status Notification Date:

Date License Fee Received:

License No:

<u>Directions:</u> Complete all parts of this application. <u>Enter the applicant name on the top of pages 2-3.</u> Submit the application and all required attachments and documentation to the Louisiana Department of Agriculture & Forestry, Industrial Hemp Program, 5825 Florida Blvd., Suite 3004, Baton Rouge, LA 70806, or hand-deliver to one of the LDAF district offices. See attached district office map. Be sure to keep a copy of the completed application and all supporting documents for your records.

Read and carefully follow all instructions on the previous pages before completing this application.

If completing this form electronically, navigate the form with the mouse or select the next field using the down arrow key.

 Applicant Information Applicant Name: Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) Mailing Address:
(Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) (Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) (Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) (Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) (Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) (Applicant Subjects 1) Enter the primary method of communication for this program. (A Cell Phone Number: (A Alternate Phone Number: (A Alternate Phone Number: (A Applicant's Business Information (if applicable) NOTE: To register a business, the business must meet the following requirements: 1) be an established legal entity, 2) declare a Designated Responsible Party, and 3) (Adentify all Key Participants in the business. (A Business Name: (A Business registered with the Louisiana Secretary of State:YesNo (A Registered Agent (if yes to question B2):
 b. Mailing Address: c. Physical Address: d. Email: Note: Email is the primary method of communication for this program. e. Cell Phone Number: f. Alternate Phone Number: 2. Applicant's Business Information (if applicable) NOTE: To register a business, the business must meet the following requirements: 1) be an established legal entity, 2) declare a Designated Responsible Party, and 3) identify all Key Participants in the business. a. Business Name: b. Is this business registered with the Louisiana Secretary of State: Yes No c. Registered Agent (if yes to question B2):
 c. Physical Address: d. Email: Note: Email is the primary method of communication for this program. e. Cell Phone Number: f. Alternate Phone Number: 2. Applicant's Business Information (if applicable) NOTE: To register a business, the business must meet the following requirements: 1) be an established legal entity, 2) declare a Designated Responsible Party, and 3) identify all Key Participants in the business. a. Business Name: b. Is this business registered with the Louisiana Secretary of State: Yes No c. Registered Agent (if yes to question B2):
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b. Is this business registered with the Louisiana Secretary of State: Yes No c. Registered Agent (if yes to question B2): No
c. Registered Agent (if yes to question B2):
Business type (grample: IIC C-Corn Partnership atc.)
. Dusiness type (example. EEC, C-Corp., 1 arthership, etc.).
e. Employer Identification Number (EIN):
f. Business Mailing Address:
g. Business Physical Address:
n. Email of Applicant:
. Cell Phone:
. Business Phone:

3) Read each statement below and check the box next to the statement to indicate your understanding and agreement:

 \Box The \$500 license fee is non-refundable after the license has been issued.

 \Box I will not knowingly contract to transport cannabis that is not industrial hemp (cannot have a THC concentration of more than federally defined THC level for hemp).

□ I understand that the Louisiana Industrial Hemp Law requires a dated invoice, bill of lading, or manifest in

my possession during the entire time I am transporting or delivering industrial hemp. The invoice, bill of lading

or manifest must contain the seller's and purchaser's name and address, specific origin and destination, and quantity of industrial hemp transported.

□ I have read and understand the Louisiana law and administrative regulations regarding industrial hemp.

Acknowledgments

Read each of the acknowledgment statements below and check "Yes" or "No" to indicate your understanding and acceptance of each statement.

- I acknowledge that LDAF is not responsible for missing information due to formatting or printing errors on the user end. LDAF is not responsible for applications lost in the mail or not received.
 □Yes □ No
- I acknowledge that LDAF is not obligated to ask follow-up questions during the application review process. The written responses on this application and attachments shall be the sole source of information under consideration for potential participation in the LDAF Industrial Hemp Program.
 □ Yes □No
- 3) I acknowledge that this is a selective process and only those applications that meet the criteria set forth in the Louisiana Industrial Hemp Law and regulations will be approved for licensing.
 □ Yes □ No
- 4) I acknowledge that, upon request from LDAF, Louisiana State Police, or other state or local law enforcement officers, license holders must immediately produce a copy of their contract carrier license, or other required program documentation for inspection.

 \Box Yes \Box No

- 5) I consent that, the commissioner or his authorized agent(s) shall have access, during normal working hours, to any premises where industrial hemp plants or plant parts are being processed, transported, produced, cultivated, and/or stored for the purpose of inspection, investigation, and/or collection of samples for testing. The commissioner or his authorized agent(s) may inspect any industrial hemp seed, plants, or plant parts located on the premises.
 - \Box Yes \Box No
- 6) I acknowledge that I, or the designated responsible party, shall be available on location by appointment for onsite visits by LDAF for the purpose of inspections or sampling.
 □ Yes □ No
- 7) I affirm that, if I am issued a license, I shall not allow other persons, not directly employed by me, to process, handle, or store under my license in lieu of their own license with LDAF.
 □ Yes □ No
- 8) I acknowledge that I will renew my license annually if intend to engage in regulated program activities. I further acknowledge that past participation does not guarantee or imply automatic approval for future participation.
 □Yes □No

Attachments

Check all attachments below that you are submitting with this application. In addition to those listed, attachments may include extended answers to any question in the application or other supporting documents. If the attachment is supplementary information to a question in this form, be sure to: 1) include the associated question number on the document; and 2) start each new question attachment on a new page.

- \Box Copy of Driver's License for the Applicant
- \Box Copy of Driver's License for the Designated Responsible Party
- □ State and Federal Certified Background Check Report for the Applicant,

□ State and Federal Certified Background Check Report for the Designated Responsible Party and all Key Participants.

Completed Designated Responsible Party Declaration form.

□ Completed *Key Participant Disclosure* form.

□ Other Attachments (describe):

I hereby verify and affirm that all of the information contained in this license application is true and accurate. I understand that if LDAF later determines any of this information to be false or inaccurate, the Contract Carrier license may be withheld or revoked.

Signature of Applicant

Printed Name of Applicant

Date