LDAF Industrial Hemp Program 2021 PROCESSOR LICENSE APPLICATION PACKET

Guidelines and Instructions

• The Louisiana Department of Agriculture & Forestry ("LDAF") is authorized by R.S. 3:1465 and pursuant regulations to issue licenses and regulate industrial hemp in Louisiana. Prior to the cultivation, processing or contracting to transport industrial hemp, a person must first submit an application and receive an industrial hemp license from LDAF. Applications should be submitted to: Louisiana Department of Agriculture & Forestry, Industrial Hemp Program, 5825 Florida Blvd., Suite 3004 Baton Rouge, LA 70806, or hand-delivered to one of the LDAF district offices. See attached district office map. All information in this application packet must be complete, and the application returned to LDAF along with any required fees and documentation.

If you need assistance completing this application, please contact us by phone at 225-925-4863 or by email at industrialhemp@ldaf.la.gov.

• Contents of Application Packet

- ➤ Guidelines and Instructions
- > Instructions for submitting to State and Federal Background Checks
- Designated Responsible Party Declaration Form
- ➤ Key Participant Disclosure Form
- ➤ LDAF District Office Map
- License Application Please submit only the application (Pages 1-4) and required forms and documentation. <u>Do not submit the guidelines and instructions.</u>
- <u>License Application Submittal</u> Industrial hemp applications are accepted at any time during the year.
 Industrial hemp license applications must be submitted and approved prior to cultivating, processing or contracting to transport industrial hemp. LDAF recommends that applicants use a delivery method with tracking capabilities when submitting an application. LDAF is not responsible for applications lost in the mail or not received. Applicants should keep a copy of the completed application, all attachments, and, if applicable, the mail receipt and tracking number for your records.
- <u>Fee Schedule</u> A \$500 license fee is due upon notification of application approval. The license fee is payable by check or money order to LDAF. No license shall be issued until full payment of the fee is received by LDAF.
- <u>Application File Format</u> Industrial hemp applications are available in an electronic fillable form. If you do not have compatible software for the fillable form, please print out the form and complete the form manually and legibly. LDAF is not responsible for missing information due to formatting, or printing errors by applicants.
- <u>Public Records Law</u> Applications and any attachments may be subject to the Louisiana Public Records Law (R.S. 44:1 *et seq.*).

- <u>Registering a Business Entity</u> Louisiana law requires licenses to be issued in the applicant's name. However, applicants may request to register their business entity with LDAF. In addition to the information required on the application, applicants must supply the following information when registering their business entity.
 - > Submission of a *Key Participant Disclosure* form for all registered business entities. A *Key Participant* is a sole proprietor, a partner in partnership, or a person with executive managerial control in a corporation. A person with executive managerial control includes persons such as a chief executive officer, chief operating officer and chief financial officer. This definition does not include non-executive managers such as farm, field, or shift managers.
 - > Submission of a *Designated Responsible Party Declaration* form for all registered business entities. The *Designated Responsible Party* is the person designated by the applicant or licensee as responsible for facility operations of the applicant and their registered facilities.
 - ➤ If an applicant or licensee requires a change of the Designated Responsible Party or Key Participants anytime during the application process or after a license is issued, the applicant or licensee must submit a new *Designated Responsible Party Declaration* or *Key Participant Disclosure* form, current background checks, and copy of the new Designated Responsible Party's driver's license to LDAF.
- <u>Application Review</u> LDAF will evaluate each application to verify that the application is complete and required documents are included. After the evaluation is completed, applicants will be notified of the application status. Applications must be complete, accurate, and legible. Follow all instructions in the document. LDAF is not required to request additional information for clarity of the application. Any license application missing required information may be subject to denial.
- <u>Prohibited Activities</u> The activities listed below are prohibited activities. A current licensee found to be conducting or participating in any of these activities may be subject to regulatory actions, including but not limited to license revocation and forfeiture or destruction of all cannabis materials in their possession.
 - > Cultivating, processing or contracting to transport cannabis that is not industrial hemp (greater than the federally defined THC level for hemp).
 - ➤ Possessing or cultivating industrial hemp plants or plant parts on any site not approved in the Licensing Application or subsequent Site Modification Request form.
 - > Cultivating or storing industrial hemp:
 - o in any structure that is used for residential purposes;
 - o in any outdoor field or site that is located within 1,000 feet of a school, or public recreational area; and
 - o on property which is not owned or leased by the licensee.
 - ➤ Violating the restrictions outlined in §1325 Restrictions on Sale or Transfer of the industrial hemp regulations.
 - ➤ Allowing unsupervised public access to industrial hemp plots.

• Criminal Background Checks

- All industrial hemp applicants, designated responsible parties, and key participants must undergo state and federal criminal background checks and submit fingerprint identification. The resulting certified criminal background check reports must accompany each license application.
- ➤ Before a license will be approved, the background check report will be reviewed by LDAF for applicant eligibility.
- > State and federal background checks are required annually for each applicant, designated responsible party and key participants, and are valid for a period of 60 days prior to submission of license application.

INSTRUCTIONS FOR COMPLETING STATE AND FEDERAL CRIMINAL BACKGROUND CHECKS

• State Background Check Procedures

- 1. Download and complete the LSP *Right to Review Authorization* and the *Right to Review Disclosure* forms. The forms can be accessed at http://www.lsp.org/technical.html#criminal.
- 2. State background check requests are processed by the Louisiana State Police, Criminal Records Dissemination Section. (LSP)

LSP, Criminal Records Dissemination Section 7919 Independence Blvd., Baton Rouge, LA 70806

Phone: 225-925-6095

Business hours: M-F 8:00 AM - 3:30 PM Central Time. Website: http://www.lsp.org/technical.html#criminal

- 3. Deliver the completed forms along with acceptable form of payment in person to the LSP.
- 4. Submit to fingerprinting and request a set of fingerprint cards to submit to FBI for federal the background check.
- 5. Applicants will be given in person their certified *Right to Review* and *State Background Check* which must be submitted to LDAF with application.

Federal Background Check Procedures

- 1. Request Fingerprint cards (Form FD-258) from LSP.
- 2. Visit the FBI Identity History Summary Checks website at: https://www.fbi.gov/services/cjis/identity-history-summary-checks.
- 3. Applicants should choose Option 1 or 3 (do *not choose option 2*) to complete the background check.
- 4. Applicant will receive the background check report electronically or by mail, depending on the requested reporting method. The report must be submitted to LDAF with the license application.

NOTE: Applicant is responsible for providing an official "disposition clarification" from the local Clerk of Court or District Attorney Offices for any reported charges with unknown disposition.

All State Criminal Background Check forms and payments must be hand-delivered or mailed to LSP and FBI Background Check forms and payments mailed to FBI. <u>DO NOT SEND THIS</u> INFORMATION AND PAYMENT TO LDAF.

Instructions for Creating Maps and Obtaining GPS Coordinates

- A color photographic map is required for each growing, storage, handling or processing locations and must contain the following:
 - Applicant's name, and if applicable, the business name printed on the map page;
 - A Physical address of the location;
 - Less Expanded view to show the site, a public roadway and the road name;
 - Outline of the location of each contiguous planting;
 - ♣ Location ID/name for each field, greenhouse, indoor growing, handling, storage or processing structure;
 - ♣ GPS Coordinates of center of field, greenhouse, indoor growing, handling, storage or processing structure, and
 - Acreage of each contiguous planting.

Refer to example map on next page

- LDAF prefers all maps be created with Google Earth; however other mapping sources may be used. You can download Google Earth Pro for free by visiting https://www.google.com/earth/download/gep/agree.html./. If asked for a registration key, enter "GEPFREE".
- You can access Google Maps online at https://www.google.com/maps/. When you have the address on your screen, you can click the button in the lower left corner that says "Earth" or "satellite" for an aerial view of the location.
- ➤ On Map Quest at https://www.mapquest.com/ locate the address on your screen, and then click in the upper right corner on "Satellite" for an aerial view of the location.
- > Print out the map when you are satisfied with the level of zoom. Map should show at least one nearby road, the entrance to the site, and the identification of the hemp locations.
- Finish the map by handwriting in the required information. For maps created in Google Earth you can save the image to a Microsoft Word Document and add a text box(s) for the required information. To add a text box, click in an area outside of the map and choose *Insert* and then *Text Box*. Enter the information into the text box and move the text box into the appropriate area of the map. You may resize the map if required. Repeat the above steps for multiple text boxes.

SEE ATTACHED EXAMPLE OF MAP AND DESCRIPTION OF A CONTIGUOUS FIELD, GREENHOUSE AND INDOOR GROWING STRUCTURE.

EXAMPLE OF MAP REQUIRED FOR INDUSTRIAL HEMP GROWER, SEED PRODUCER AND PROCESSOR LICENSES



LDAF Industrial Hemp Program Designated Responsible Party Declaration Form

	•			
This form must be completed and so	ubmitted with	each busines	s entity industrial hemp applic	eation.
This completed form is a required atta	chment for all	industrial hen	np program business entity appli	cations
If completing this form electronically, navigate the f	form with the mouse of	or select the next fi	eld using the down arrow key.	
Name of Business Entity				
Complete Physical Address				
I hereby declare that:				
Printed Name		Title		
Phone		Email		
*The Designated Responsible Party listed above is prior to license being issued.	required to have an a	innual background	check and copy of driver's license on file w	ith LDAF
is the Designated Responsible Party for industrial hemp program documents of Designated Responsible Party require	on the entity's b	ehalf. The en		-
I certify that this information is true as	nd correct.			
Signature of owner, registered agent, or managing member		Printed N	ame	
Date				
Signature of designated responsible party		Printed N	∛ame	
Date				

LDAF Industrial Hemp Program Key Participant Disclosure Form

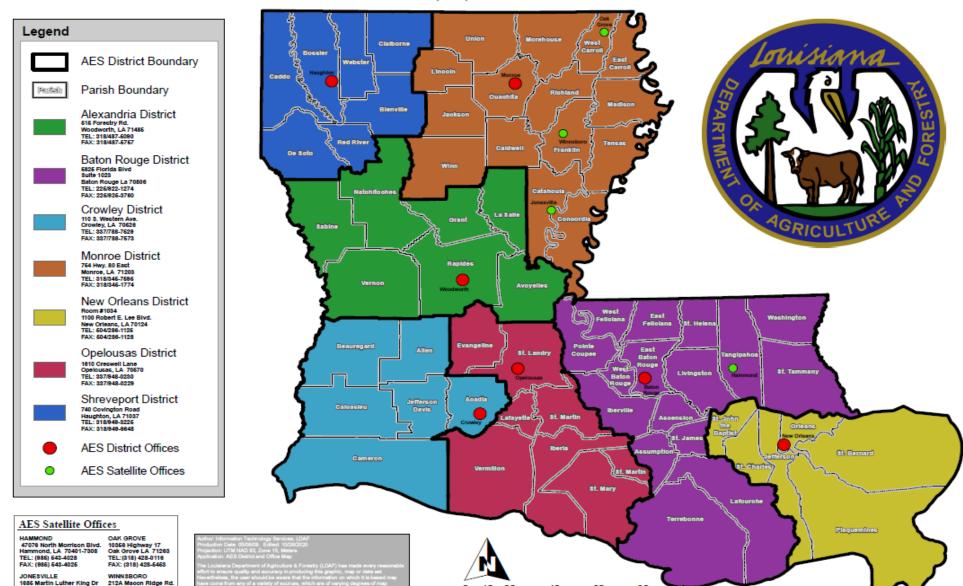
This form must be completed a	and sul	omitted with each business entity application.
1. Business Entity Inform	ation	
If completing this form electronically, navigate the form with the mouse or select the next field using the down arrow key.		
Name of Business Entity:		
Name of Applicant:		
Physical Address of Business:		
City, State & Zip:		
2. List the names, titles a	nd ema	ail addresses of <u>ALL</u> key participants in the table below.
managerial control in a corpore	ation. A peratii	rietor, a partner in partnership, or a person with executive a person with executive managerial control includes persons such a ng officer and chief financial officer. This definition does not includ , field, or shift managers.
Key Participant 1		
Name:		
Title:		
Email Address:		
Key Participant 2		
Name:		
Title:		
Email Address:		
Key Participant 3		
Name:		
Title:		
Email Address:		
Key Participant 4		
Name:		
Title:		
Email Address:		
indicate total number I hereby verify and affirm that a	of shee Il of the etermin	e information contained on this form is true and accurate. I les any of this information to be false or inaccurate, the industrial
Signature of applicant		Printed Name

Date

LOUISIANA DEPARTMENT OF AGRICULTURE & FORESTRY MIKE STRAIN DVM, COMMISSIONER

Office of Agricultural and Environmental Sciences

(225) 925-3770



Jonesville La 71343 TEL:(318) 339-7842 FAX: (318-338-8038 Winnsboro LA 71296 TEL: (318) 436-2186 FAX: (318)435-2916 80

Miles



LDAF Industrial Hemp Program 2021 Processor License Application

Any person interested in processing industrial hemp must first submit a Processor license application and receive a Processor License from LDAF prior to engaging in the regulated profession. **NOTE:** Applicants must receive a Processor license from LDAF before taking possession of or processing any industrial hemp.

<u>Directions:</u> Complete all parts of this application. <u>Enter the applicant name on the top of pages 2-4.</u> Submit the application and all required attachments

OFFICIAL USE ONLY
Date Received:
Application Status Notification Date:
Date License Fee Received:
License No:

and documentation to the Louisiana Department of Agriculture & Forestry, Industrial Hemp Program, 5825 Florida Blvd., Suite 3004, Baton Rouge, LA 70806, or hand-deliver to one of the LDAF district offices. See attached district office map. Be sure to keep a copy of the completed application and all supporting documents for your records.

Read and carefully follow all instructions on the previous pages before completing this application.

If completing this form electronically, navigate the form with the mouse or select the next field using the down arrow key. 1. Applicant Information a. Applicant Name: (Applicant Name must be the name of a person. Enter name as it appears on the background check report. Do NOT enter a business name.) b. Mailing Address: c. Physical Address: d. Email: Note: Email is the primary method of communication for this program. e. Cell Phone Number: f. Alternate Phone Number: 2. Applicant's Business Information (if applicable) NOTE: To register a business, the business must meet the following requirements: 1) be an established legal entity, 2) declare a Designated Responsible Party, and 3) identify all Key Participants in the business. a. Business Name: b. Is this business registered with the Louisiana Secretary of State: Yes No c. Registered Agent (if yes to question B2): d. Business type (example: LLC, C-Corp., Partnership, etc.): e. Employer Identification Number (EIN): f. Business Mailing Address: g. Business Physical Address: h. Email of Applicant: i. Cell Phone: j. Business Phone: 3) Indicate intended processed product (check all that apply): ☐ Grain ☐ Fiber ☐ CBD, other cannabinoids, terpenoids, or any other extracts ☐ Other (describe): 4) Indicate the type of processing facility you intend to operate (check all that apply):

 \square Mobile processing unit \square Other (describe):

☐ Permanent processing facility

5) Provide a list of all processing, handling or storage locations requested for registration by completing the table(s) below. For mobile processing units enter the information for the location where the unit is stored.

	Processing/Handling/Storage Name			Own or Rent	
	Address	City	State	Zip	Parish
Handling/					
Storage 1	Legal Property Description	n. Description may be	included as attac	hment if neo	cessary. (EX: Section
	42, Township 4S, Range 10E):				
	_				
		GPS Latitude	GPS Lon	gitude	Purpose (processing,
	Location ID*	EX: 29.832706000	EX: -90.920	6661999	handling or storage)
Site 1					
Site 2					
Site 3					
	Processing/Handling/Storage Name			Own or Rent	
	Address	City	State	7.	Parish
	Address	City	State	Zip	Falisii
		·			
Handling/	Legal Property Description	n. Description may be			
Handling/ Storage 2		n. Description may be			
Handling/ Storage 2	Legal Property Description	on. Description may be (OE):	included as attac	chment if neo	cessary. (EX: Section
_	Legal Property Description 42, Township 4S, Range I	on. Description may be (OE): GPS Latitude	included as attac	chment if nec	Purpose (processing,
Storage 2	Legal Property Description	on. Description may be (OE):	included as attac	chment if nec	cessary. (EX: Section
Storage 2 Site 1	Legal Property Description 42, Township 4S, Range I	on. Description may be (OE): GPS Latitude	included as attac	chment if nec	Purpose (processing,
Storage 2	Legal Property Description 42, Township 4S, Range I	on. Description may be (OE): GPS Latitude	included as attac	chment if nec	Purpose (processing,

^{*} Location ID: A unique identifier or common name for each field, as designated by the applicant. The Location ID will become part of the license record. For mobile processing units enter license plate number in the Location ID field.

6)	(5) Read each statement below and check the box next to the st	tatement to indicate your understanding and
	agreement:	

	agreement:
	☐ The \$500 license fee is non-refundable after the license has been issued
	\square Any additions, subtractions or changes to the GPS coordinates listed for processing, handling or storage
	locations after the processor license is issued by LDAF will require the license holder to submit, and have the
	changes approved. All site modification requests must be submitted using a Site Modification Request form.
	\square Each location must be included in your licensing application and approved by LDAF prior to the processing,
	handling, or storage of any industrial hemp at that location.
	☐ Applicants are required to provide precise GPS coordinates in a decimal degrees (DD) format for each
	processing, handling or storage sites at each address.
	☐ Applicants are required to provide a legal description of address and a detailed map of every site at each
	address with the application.
7)	The following restrictions apply to all processor licensees. Read each statement below and check the box
	next to the statement to indicate your understanding and agreement:
	\square I will not knowingly process cannabis that is not industrial hemp (cannot have a THC concentration of more
	than federally defined THC level for hemp).
	\square I will not process, handle or store industrial hemp in any structure that is used for residential purposes.
	\square I will not process, handle, or store industrial hemp on any land which is not owned or leased by the licensee.
	\square I have read and understand the Louisiana law and administrative regulations regarding industrial hemp.

Applicant Name: _	
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Acknowledgments

Read each of the acknowledgment statements below and check "Yes" or "No" to indicate your understanding and acceptance of each statement.

1)	I acknowledge that LDAF is not responsible for missing information due to formatting or printing errors on the user end. LDAF is not responsible for applications lost in the mail or not received. \Box Yes \Box No
2)	I acknowledge that LDAF is not obligated to ask follow-up questions during the application review process. The written responses on this application and attachments shall be the sole source of information under consideration for potential participation in the LDAF Industrial Hemp Program. ☐ Yes ☐No
3)	I acknowledge that this is a selective process and only those applications that meet the criteria set forth in the Louisiana Industrial Hemp Law and regulations will be approved for licensing. \square Yes \square No
4)	I affirm that, if approved for a license, I will abide by all requirements of LDAF, and the law and regulations, including timely submission of report forms and required attachments, as applicable, including but not limited to:
	• Site Modification Request form - must be submitted to LDAF prior to the processing, handling, or storage of hemp materials at any location not previously approved on your processor license application.
	• Production Report – due by November 15 th annually
	□ Yes □ No
5)	I acknowledge that, upon request from LDAF, Louisiana State Police, or other state or local law enforcement officers, license holders must immediately produce a copy of their processor license, or other required program documentation for inspection. Yes No
6)	I consent that, the commissioner or his authorized agent(s) shall have access, during normal working hours, to any premises where industrial hemp plants or plant parts are being processed, transported, produced, cultivated, and/or stored for the purpose of inspection, investigation, and/or collection of samples for testing. The commissioner or his authorized agent(s) may inspect any industrial hemp seed, plants, or plant parts located on the premises. □ Yes □ No
7)	I acknowledge that I, or the designated responsible party, shall be available on location by appointment for onsite visits by LDAF for the purpose of inspections or sampling. \Box Yes \Box No
8)	I affirm that, if I am issued a license, I shall not allow other persons, not directly employed by me, to process, handle, or store under my license in lieu of their own license with LDAF. \Box Yes \Box No
9)	I accept the inherent risk associated with participation in the program of a new crop. I acknowledge that both personal and financial loss may be possible, and agree that LDAF is not responsible for reimbursing or compensating any licensee for any loss resulting from involvement with the program. \Box Yes \Box No
8)	I acknowledge that I will renew my license annually if intend to engage in regulated program activities. I further acknowledge that past participation does not guarantee or imply automatic approval for future participation. $\Box Yes \Box No$

Applicant Name:	2021 Processor License Application
, -	process, handle or store industrial hemp and shall not be (greater than the federally defined THC level for hemp).
· ·	determine a THC level greater than the federally defined the hemp material from which the sample was collected at my
Attachments	
may include extended answers to any question in the ap	ith this application. In addition to those listed, attachments oplication or other supporting documents. If the attachment is e sure to: 1) include the associated question number on the n a new page.
☐ Copy of Driver's License for the Designated Respon	sible Party
\square State and Federal Certified Background Check Repo	ort for the Applicant
☐ State and Federal Certified Background Check Repo Participants	ort for the Designated Responsible Party and all Key
\square Completed Designated Responsible Party Declaration	on form
☐ Completed <i>Key Participant Disclosure</i> form	
☐ Other Attachments (describe):	
I hereby verify and affirm that all of the information accurate. I understand that if LDAF later determined Processor license may be withheld or revoked.	n contained in this license application is true and es any of this information to be false or inaccurate, the
Signature of Applicant	Printed Name of Applicant
Date	
Date	